

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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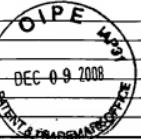
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Complete if Known

Application Number	10/813,260
Filing Date	March 31, 2004
First Named Inventor	Paul A. THOMAS
Art Unit	3696
Examiner Name	Samica L. NORMAN
Attorney Docket Number	05997.0013-03000



U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

Examiner Initials	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,950,175	9/1999	Austin	
		5,966,700	10/1999	Gould et al.	
		6,070,151	5/2000	Frankel	
		6,088,685	7/2000	Kiron et al.	
		6,167,384	12/2000	Graff	
		6,233,566	5/2001	Levine et al.	
		6,249,775	6/2001	Freeman et al.	
		6,330,541	12/2001	Meyer et al.	
		6,360,210	3/2002	Wallman	
		6,381,585	4/2002	Maples et al.	
		7,028,007	4/2006	Abrahams et al.	
		7,099,843	8/2006	Cassidy et al.	
		2001/0025264	9/2001	Deaddio et al.	
		2002/0019789	2/2002	Ginsberg	
		2002/0035530	3/2002	Ervolini et al.	

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation ⁶
		WO 94/23528	10/1994	Smith		
		WO 99/46710	9/1999	Ervolini et al.		
		WO 2005/059781	6/2005	Camrass et al.		

NONPATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation ⁶
		"Finance and the Family (In the News): Quicker Way Home/Abbey National to Give Mortgage Guarantee Certificates," <i>Financial Times</i> , Section E, January 25, 1986 (2 pgs.).	
		Padgett, Robert L., "Performance Reporting: The Basics and Beyond: Part I," <i>Journal of Financial Planning</i> , Vol. 8, No. 3, July 1995, pp. 110-118 (9 pgs.).	
		Anonymous, "Newly Launched Russell/Melon Analytical Services Combines Global Client Base to Serve More Than \$2 Trillion in Assets," <i>PR Newswire</i> , January 20, 1999, p. 1488 (3 pgs.).	
		Anonymous, "Benchmarks for Evaluating Performance," <i>Association Management</i> , Vol. 50, No. 6, June 1998, p. 64 (1 pg.).	
		Preliminary Amendment, dated February 10, 2006, for U.S. Application No. 11/350,872, filed February 10, 2006 (Attorney Docket No. 05997.0013-04) (9 pages), which is a divisional of USPN 7,028,007.	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /S.N./

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Sheet 2 of 2

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<i>Filing Date</i>	March 31, 2004
<i>First Named Inventor</i>	Paul A. THOMAS
<i>Art Unit</i>	3696
<i>Examiner Name</i>	Samica L. NORMAN
<i>Attorney Docket Number</i>	05997.0013-03000

	Response to Restriction Requirement and Amendment, dated September 4, 2008, for U.S. Application No. 11/350,792, filed February 10, 2006 (Attorney Docket No. 05997.0013-05) (9 pages), which is a divisional of USPN 7,028,007.	

Examiner Signature	/Samica Norman/	Date Considered	02/09/2009
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /S.N./